

FILING OF PATENT APPLICATIONS - CLASSIFIED SUBJECT MATTER (Jan 2001)

(a) Before filing or causing to be filed a patent application in the United States disclosing any subject matter of this subcontract classified "Secret" or higher, the Seller shall, citing the 30-day provision below, transmit the proposed application to the Contracting Officer of the Company's Contract No. DE-AC05-00OR22725 with the Department of Energy. The Government shall determine whether, for reasons of national security, the application should be placed under an order of secrecy, sealed in accordance with the provision of 35 U.S.C. 181-188, or the issuance of a patent otherwise delayed under pertinent United States statutes or regulations. The Seller shall observe any instructions of the Contracting Officer regarding the manner of delivery of the patent application to the United States Patent Office, but the Seller shall not be denied the right to file the application. If the Contracting Officer shall not have given any such instructions within 30 days from the date of mailing or other transmittal of the proposed application, the Seller may file the application.

(b) Before filing a patent application in the United States disclosing any subject matter of this subcontract classified "Confidential," the Seller shall furnish to the Contracting Officer a copy of the application for Government determination whether, for reasons of national security, the application should be placed under an order of secrecy or the issuance of a patent should be otherwise delayed under pertinent United States statutes or regulations.

(c) Where the subject matter of this subcontract is classified for reasons of security, the Seller shall not file, or cause to be filed, in any country other than in the United States as provided in paragraphs (a) and (b) of this clause, an application or registration for a patent containing any of the subject matter of this subcontract without first obtaining written approval of the Contracting Officer.

(d) When filing any patent application coming within the scope of this clause, the Seller shall observe all applicable security regulations covering the transmission of classified subject matter and shall promptly furnish to the Contracting Officer the serial number, filing date, and name of the country of any such application. When transmitting the application to the United States Patent Office, the Seller shall by separate letter identify by agency and number the contract or contracts that require security classification markings to be placed on the application.

(e) The Seller agrees to include, and require the inclusion of, this clause in all subcontracts at any tier that cover or are likely to cover classified subject matter.