INFORMATION SYSTEM CERTIFICATION FORM FOR MODERATE CONTROLS (October 11, 2018)

UT-Battelle Subcontract Number: _____

Prior to commencement of work under this Agreement, the Seller shall provide the following certification to the Company's Technical Project Officer:

Information System Certification

I, the Cognizant Seller Authority, to the best of my knowledge, certify that the security controls on the Seller's information system and media meet security specifications necessary to adequately protect the information that will be processed by Seller to perform this Agreement, or information that is created by Seller for Company using the guidelines set forth the current National Institute of Standards and Technology (NIST) Special Publication SP 800-171, "Protecting Controlled Unclassified Information in Nonfederal Systems and Organizations" or have equivalent security and privacy controls. If the security configuration of the Seller's information system is significantly modified after this certification or if the security category of the information increases from the level approved by Company, I will notify the Company of the changes and submit a new certification to the Company. The Seller agrees to protect all Personally Identifiable Information (PII) in accordance with applicable Federal, State, and other regulatory requirements for the collection, use, and protection of personally identifiable information.

Seller's Company Name: _____
                                                        Typed

Cognizant Seller Authority: _____
                                                   Typed Name and Title

Signature: _____

Date: _____