

**INFORMATION CONCERNING FOREIGN
OWNERSHIP, CONTROL, OR INFLUENCE (1-97)**

(a) The attached questionnaire entitled "Foreign Ownership, Control, or Influence Over Offeror" and the attached form entitled "List of Owners, Officers, Directors, and Executive Personnel" must be completed by the offeror and the offeror's parent companies, if any. The questionnaire must be signed by an "authorized official"; e.g., an official identified in the organization's Articles of Incorporation or By-Laws as responsible for managing the business affairs of the organization, or any other employee, identified by name, of the organization if designated in writing by such an authorized official as having been delegated authority to execute FOCI representations and certification on behalf of the organization. [A DOE document entitled "Guidelines for Completing the FOCI Certification" is also attached. This document provides instructions for completing the questionnaire and specifies supporting documents that must be returned with completed questionnaires. Offerors are cautioned that the "List of Owners, Officers, Directors, and Executive Personnel" form and certain financial data must be submitted even if all questions on the questionnaire are answered in the negative.]

(b) As stated in the DOE "Guidelines" document, DOE requires audited information of the offeror's most recently completed fiscal year. However, if the offeror does not routinely purchase an audit of its end-of-year financial report(s) or otherwise cannot provide an audited financial report for its most recently completed fiscal year, then DOE requires an unaudited financial statement and balance sheet and a certified statement on the offeror's letterhead, signed by the same person who executed the FOCI certification, that audited financial information is not available.

(c) The offeror may either return the questionnaire(s), supporting documents, and forms with the offer or transmit them separately to:

General Acquisitions Branch
Department of Energy
Oak Ridge Operations Office
Post Office Box 2001
Oak Ridge, Tennessee 37831-8756

identifying this solicitation in the transmittal letter. If the offeror sends the questionnaire(s), supporting documents, and forms to DOE, please so indicate in the offer.