

**RECOVERY ACT - REPRESENTATIONS AND CERTIFICATIONS
REQUIRED FROM SUBCONTRACTORS FOR SPECIAL REPORTING (Apr 2009)**

NOTICE: By signing below, the Offeror/Subcontractor represents and certifies that these representations and certifications are accurate, current, and complete and that the signer is duly authorized to make such representations and certifications on behalf of the Offeror/Subcontractor.

THE FOLLOWING REPRESENTATIONS AND CERTIFICATIONS ARE PROVIDED FOR UT-BATTELLE SOLICITATION/SUBCONTRACT NUMBER:

The Offeror/Subcontractor represents and certifies as follows:

1. CENTRAL CONTRACTOR REGISTRATION.

It is, is not registered in the Central Contractor Registration (CCR) database. Certifying that it is registered in CCR also certifies that the information in CCR is accurate and up-to-date.

2. DUNS NUMBER. (Show as it appears in CCR.)

Its DUNS number is _____.

Its DUNS Plus 4 number is _____ or a DUNS Plus 4 number is not applicable.

3. PARENT DUNS NUMBER.

It does, does not have a parent company.

If it has a parent company, the parent company's DUNS number is _____.

4. LEGAL BUSINESS NAME. (Show as it appears in CCR.)

Its legal business name by which it is incorporated and pays taxes is

_____.

5. DOING BUSINESS AS (DBA). (Show as it appears in CCR.)

It commonly uses another name, does not commonly use another name.

If it commonly uses another name, the name is _____.

6. SUBCONTRACTOR'S PHYSICAL ADDRESS. (P. O. Box or c/o may not be used. Show as it appears in CCR.)

Street Address: _____

City: _____

State/Region: _____

Country: _____

Zip Code (nine digits required if in the United States): _____ - _____

Postal Code (required if foreign): _____

Congressional district (required if in the United States): _____

7. PRIMARY PERFORMANCE LOCATION OF THE SUBCONTRACT WORK. (P. O. Box or c/o may not be used.)

Street Address: _____

City: _____

State/Region: _____

Country: _____

Zip Code (nine digits required if in the United States): _____ - _____

Postal Code (required if foreign): _____

Congressional district (required if in the United States): _____

8. GROSS INCOME.

Its gross income in the previous tax year did, did not exceed \$300,000.

9. EXECUTIVE COMPENSATION. (Complete this item only if the gross income of the Offeror/Subcontractor exceeded \$300,000 in the previous tax year – see Item 8 above.) "Total Compensation" means the complete pay package of subcontractor employees, including all forms of monthly, benefits, services, and in-kind payments, consistent with the regulations of the Securities and Exchange Commission at 17 CFR 229.402.)

- A. In its preceding fiscal year, it did, did not receive 80 percent or more of its annual gross revenues in Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements.
- B. In its preceding fiscal year, it did, did not receive \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements.
- C. The public does, does not have access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.A.
- D. Based on the responses to 9.A, 9B, and 9C, it is required, is not required to provide the names and total compensation of each of the five most highly compensated officers for the calendar year in which the subcontract is awarded because—
 - (i) in its preceding fiscal year, it received—
 - (1) 80 percent or more of its annual gross revenues in Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
 - (2) \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
 - (ii) the public does not has access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

Complete if required.

NAME	TITLE	TOTAL COMPENSATION

The compensation for senior executives shown above is for calendar year 20__.

Its fiscal year end date is _____.

10. ESTIMATE OF JOB CREATION AND JOB RETENTION. (This representation is an estimate of jobs that performance will create and retain. Actual jobs created and retained shall be reported pursuant to the Reporting Requirements provision of the clause titled "Recovery Act – Special Provisions Related to Work Funded Under American Recovery and Reinvestment Act of 2009." The terms "jobs created" and "jobs retained" are defined in FAR 52.204-11.)

It represents that its estimate of jobs that performance of the work will create or retain, by calendar year quarter during the proposed period of performance, is:

CALENDAR YEAR QUARTER ENDING (insert month/day/year)	JOBS CREATED (Seller and all lower-tier subcontractors)	JOBS RETAINED (Seller and all lower-tier subcontractors)

LEGAL BUSINESS NAME: _____

By: _____
Printed/Typed Name

Signature

Title: _____

Date: _____