

**RECOVERY ACT - REPRESENTATIONS AND CERTIFICATIONS  
REQUIRED FROM SUBCONTRACTORS FOR SPECIAL REPORTING (August 2009)**

THE FOLLOWING REPRESENTATIONS AND CERTIFICATIONS ARE PROVIDED FOR:

**SOLICITATION NUMBER** \_\_\_\_\_ **SUBCONTRACT NUMBER** \_\_\_\_\_ **MODIFICATION NUMBER** \_\_\_\_\_

**NOTICE:** By signing below, the Offeror/Subcontractor represents and certifies that:

- Its representations and certifications are accurate, current, and complete and that the signer is duly authorized to make such representations and certifications on behalf of the Offeror/Subcontractor.
- It is registered in the Central Contractor Registration (CCR) database with an active status and the information in CCR is accurate and up-to-date.
- It agrees to comply with the requirements of the clause titled "Recovery Act – Special Provisions Related to Work Funded Under American Recovery and Reinvestment Act of 2009."

The Offeror/Subcontractor represents and certifies as follows:

**1. DUNS NUMBER.** (Show as it appears in CCR.)

Its DUNS number is \_\_\_\_\_.

Its DUNS Plus 4 number is \_\_\_\_\_ or a DUNS Plus 4 number is not applicable.

**2. PARENT DUNS NUMBER.**

It does, does not have a parent company.

If it has a parent company, the parent company's DUNS number is \_\_\_\_\_.

**3. LEGAL BUSINESS NAME.** (Show as it appears in CCR.)

Its legal business name by which it is incorporated and pays taxes is:

\_\_\_\_\_

**4. DOING BUSINESS AS (DBA).** (Show as it appears in CCR.)

It commonly uses another name, does not commonly use another name.

If it commonly uses another name, the name is \_\_\_\_\_

**5. SUBCONTRACTOR'S PHYSICAL ADDRESS.** (P. O. Box or c/o may not be used. Show as it appears in CCR.)

Street Address:..\_\_\_\_\_

City:.....\_\_\_\_\_

State/Region:.....\_\_\_\_\_

Country:.....\_\_\_\_\_

Zip Code (nine digits required if in the United States): \_\_\_\_\_ - \_\_\_\_\_

Postal Code (required if foreign): \_\_\_\_\_

Congressional district (required if in the United States): \_\_\_\_\_

**6. PRIMARY PERFORMANCE LOCATION OF THE SUBCONTRACT WORK.** (P. O. Box or c/o may not be used.)

Street Address:..\_\_\_\_\_

City:.....\_\_\_\_\_

State/Region: ....\_\_\_\_\_

Country:.....\_\_\_\_\_

Zip Code (nine digits required if in the United States): \_\_\_\_\_ - \_\_\_\_\_

Postal Code (required if foreign): \_\_\_\_\_

Congressional district (required if in the United States): \_\_\_\_\_

**7. GROSS INCOME.** (Complete this item if the offer/subcontract exceeds \$25,000.)

Its gross income in the previous tax year  did,  did not exceed \$300,000.

**8. EXECUTIVE COMPENSATION.** (Complete this item if the offer/subcontract exceeds \$25,000.) ("Total Compensation" means the complete pay package of subcontractor employees, including all forms of monthly, benefits, services, and in-kind payments, consistent with the regulations of the Securities and Exchange Commission at 17 CFR 229.402.)

- A. In its preceding fiscal year, it  did,  did not receive 80 percent or more of its annual gross revenues in Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements.
- B. In its preceding fiscal year, it  did,  did not receive \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements.
- C. The public  does,  does not have access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- D. It  is required,  is not required to provide the names and total compensation of each of the five most highly compensated officers for the calendar year in which the subcontract is awarded.

NOTE: The offeror/subcontractor is required to provide the names and total compensation of each of the five most highly compensated officers for the calendar year in which the subcontract is awarded if:

- (i) in its preceding fiscal year, it received—
  - (1) 80 percent or more of its annual gross revenues in Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
  - (2) \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
- (ii) the public does not have access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

Complete if required.

NAME	TITLE	TOTAL COMPENSATION

The compensation for senior executives shown above is for calendar year 20\_\_.

Its fiscal year end date is \_\_\_\_\_.

**9. ESTIMATE OF JOB CREATION AND JOB RETENTION.** (Complete this item if the offer/subcontract value exceeds \$25,000.) (This representation is an estimate of jobs that performance will create and retain. Actual jobs created and retained shall be reported pursuant to the Reporting Requirements provision of the clause titled "Recovery Act – Special Provisions Related to Work Funded Under American Recovery and Reinvestment Act of 2009." The terms "jobs created" and "jobs retained" are defined in FAR 52.204-11.)

It represents that its estimate of jobs that performance of the work will create or retain, by calendar year quarter during the proposed period of performance, is:

CALENDAR YEAR QUARTER ENDING	JOBS CREATED (Include Seller and all lower-tier subcontractors)		JOBS RETAINED (Include Seller and all lower-tier subcontractors)	
	Job Title/Category	Number of FTEs	Job Title/Category	Number of FTEs

LEGAL BUSINESS NAME: \_\_\_\_\_

By: \_\_\_\_\_  
Printed/Typed Name

\_\_\_\_\_  
Signature

Title: \_\_\_\_\_

Date: \_\_\_\_\_